



RECEIVED
NOV 12 2002
GROUP 3600

IBM Docket No.: YOR9-2000-0304

Patent
11-1802
Yuel

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Greene et al.

Application Serial No.: 09/748,888

Filing Date: December 27, 2000

For: SYSTEM AND METHOD FOR
"SWAPS" STYLE RISK PRODUCTS
BASED ON NETWORK ENABLED
AGGREGATION

)
) Group Art Unit: 2164

)
) Examiner: Not yet assigned

)
) **REVOCATION OF PREVIOUS
POWER OF ATTORNEY AND NEW
POWER OF ATTORNEY**

)
) IBM Docket No.: YOR9-2000-0304

)
) Buckley, Maschoff, Talwalkar & Allison LLC
) Five Elm Street
) New Canaan, CT 06840
) (203) 972-0006
)

CERTIFICATE OF MAILING UNDER 37 CFR 1.8

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231, on 11/1/02.

Dated: 11/1/02 By: [Signature]
Bill Holme

Commissioner for Patents
Washington, DC 20231

Sir:

I hereby revoke all previous powers of attorney or authorizations of agent in the above-identified application.

I am the:

- ☐ Applicant/inventor.
☒ Assignee of record of the entire interest. See 27 CFR 3.71
Statement under 37 CFR 3.73(b) is enclosed (Form PTO/SB/96).

I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and transact all business in the Patent and Trademark Office connected therewith:

Manny W. Schechter (Reg. 31,722), Kevin M. Jordan (Reg. 40,277), Douglas W. Cameron (Reg. 31,596), Marc A. Ehrlich (Reg. 39,966), Timothy M. Farrell (Reg. 37,321), Louis P. Herzberg (Reg. 41,500), Derek S. Jennings (Reg. 41,473), Stephen C. Kaufman (Reg. 29,551), Richard M. Ludwin (Reg. 33,010), Daniel P. Morris (Reg. 32,053), Paul J. Otterstedt (Reg. 37,411), Louis J. Percello (Reg. 33,206), Robert M. Trepp (Reg. 25,933), Marian Underweiser (Reg. 46,134) and Gail H. Zarick (Reg. 43,303).

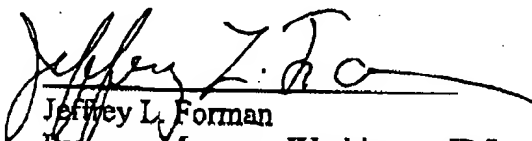
Send correspondence to:

PTO Customer Number 28062
Kurt M. Maschoff
BUCKLEY, MASCHOFF, TALWALKAR & ALLISON LLC
Five Elm St.
New Canaan, CT 06840

Direct telephone calls to: Kurt M. Maschoff at (203) 972-0081.

Respectfully submitted,

31 Oct. 2002
Date


Jeffrey L. Forman
Program Manager, Washington IP Law

IBM CORPORATION
Intellectual Property Law Dept.
P.O. Box 218
Yorktown Heights, NY 10598



STATEMENT UNDER 37 CFR 3.73(b)

Applicant/Patent Owner: Greene et al.

Application No./Patent No.: 09/748,888 Filed/Issued Date: December 27, 2000

Entitled: **SYSTEM AND METHOD FOR "SWAPS" STYLE RISK PRODUCTS BASED ON NETWORK ENABLED AGGREGATION**

International Business Machines Corporation, a corporation

(Name of Assignee)

(Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest; or
2. ☐ an assignee of less than the entire right, title and interest.
The extent (by, percentage) of its ownership interest is _____ %

in the patent application/patent identified above by virtue of either:

A. ☒ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel/Frame 011941/0638, or for which a copy thereof is attached.

OR

B. ☐ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as shown below:

1. From: _____ To: _____
The document was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.
2. From: _____ To: _____
The document was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.
3. From: _____ To: _____
The document was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.

☐ Additional documents in the chain of title are listed on a supplemental sheet.

☐ Copies of assignments or other documents in the chain of title are attached.

[NOTE: A separate copy (i.e., the original assignment document or a true copy of the original document) must be submitted to Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the records of the PTO. See MPEP 302.08]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

31 Oct. 2002
Date

Jeffrey L. Forman

Typed or printed name

Jeffrey L. Forman
Signature

Program Manager, Washington IP Law

Title

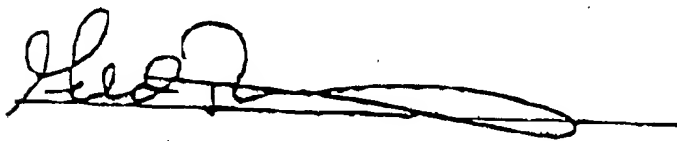
RECEIVED
NOV 12 2002
GROUP 3600

LETTER OF AUTHORITY

I, Gerald Rosenthal, Vice President, Intellectual Property and Licensing of International Business Machines Corporation (IBM), a New York corporation, do hereby delegate the authority to approve and execute documents on behalf of IBM relating to proceedings in the Patent, Trademark Registration or Copyright Offices servicing any country or region of the world, or to related appeal proceedings, including, but not limited to: petitions; powers of Attorney; authorizations; verification; nominations of representatives; declarations; documents relating to maintenance and defense of the resulting industrial property rights; assignments of rights to apply for and acquire patents and trademark registrations; and evidence of such assignments; request for the registration of patents as available for licensing; reports of inventions and petitions for waiver of patent rights to any department or agency of the United States Government; and, assignments, licenses and other instruments confirmatory of Government rights in patents and inventions, to Jeffrey L. Forman, Program Manager, Patent Office Liaison.

Date:

Aug 4, 2000



Gerald Rosenthal
Vice President, Intellectual Property & Licensing
International Business Machines Corporation